

8-13-01

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Wenting Tang, Ludmilla Cherkasova and Lance Russell

Serial No.: 09/880,631

Examiner:

Filing Date: 06/12/01

Group Art Unit:

Title: METHOD AND SYSTEM FOR A MODULAR TRANSMISSION CONTROL PROTOCOL (TCP)  
RARE-HANDOFF DESIGN IN A STREAMS BASED TRANSMISSION CONTROL PROTOCOL  
INTERNET PROTOCOL (TCP/IP) IMPLEMENTATION

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

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AUG 17 2001

INFORMATION DISCLOSURE STATEMENT

Technology Center 2600

Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
  - Statement under 37 CFR 1.97(e), or
  - a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97 (d) together with a:
  - Statement under 37 CFR 1.97(e), and
  - a petition under 37 CFR 1.97(d)(2), and
  - a \$130.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Anthony Chou  
Typed Name: Anthony Chou

Respectfully submitted,

Wenting Tang, Ludmilla Cherkasova and

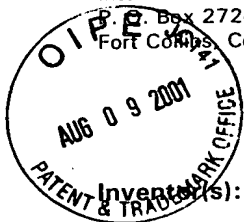
By \_\_\_\_\_

Lin C. Tsu

Attorney/Agent for Applicant(s)

Reg. No. 46,315

Date: 08/09/01



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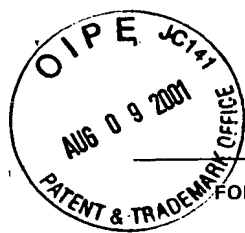
Wenting Tang, Ludmilla Cherkasova and

By \_\_\_\_\_

Lin C. Asu  
Attorney/Agent for Applicant(s)

Reg. No. 46,315

Date: 08/09/01



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>10010812</b>	SERIAL NO. <b>09/880,631</b>
APPLICANT <b>Tang, et al.</b>	
FILING DATE <b>06/12/01</b>	GROUP

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	1L						
	1M						
	1N						
	1O						
	1P						

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

1Q	Vivek S. Pai, Mohit Aron, Gaurav Banga, Michael Svendsen, Peter Druschel, Willy Zwaenepoel and Erich Nahum, LOCALITY-AWARE REQUEST DISTRIBUTION IN CLUSTER-BASED NETWORK SERVERS, Department of Electrical and Computer Engineering, Rice University, Department of Computer Science, Rice University, IBM T.J. Watson Research Center
1R	
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EXAMINER	DATE CONSIDERED
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